

Committee(s): Performance and Resource Management Committee of the Police Authority Board	Date(s): 16/10/2020
Subject: Internal Audit Update Report	Public
Report of: Head of Audit and Risk Management	For Information
Report author: Jeremy Mullins	

Summary

This report provides the Committee with an update on the progress of Internal Audit work undertaken for the City of London Police and the Police Authority during the current financial year 2020/21.

Delivery against the 2020/21 Internal Audit Plan has been impacted by the COVID-19 outbreak. Work on the plan did not commence until July 2020, having agreed with the Assistant Commissioner that the impact of the pandemic on the Force had been mitigated sufficiently to enable Audit work to progress. Nonetheless, a significant amount of work is in progress, including three Audit reviews at Draft Report Stage.

Recommendation

Members are asked to note the report.

Main Report

Background

1. This report has been prepared to provide Members with an update in relation to Internal Audit work undertaken in 2020-21 to date. Internal Audit Service is provided by a combination of an in-house team and via Mazars, an external partner.

Internal Audit Work Undertaken in 2020/21 to date

2. Below is a summary of the progress in delivering the Internal Audit work in 2020/21 to date. Three CoLP Internal Audits have been completed to draft report stage; fieldwork for a further two CoLP Audits is progressing; one CoLP Audit is currently at planning stage; and two remaining CoLP Internal Audits have yet to be started. One Internal Audit for the Police Authority (PA) is currently at planning stage.

Audit	Progress	Estimated Completion Date
CoLP Financial Forecasting	Draft Report	30 th November 2020
CoLP Workforce Planning	Draft Report	30 th November 2020
CoLP Transform Programme Audit Phase 2	Draft Report	30 th November 2020
CoLP Grant Funding	Fieldwork	31 st December 2020
CoLP Key Financial Controls	Not started	31 st March 2021
CoLP Transform Programme Audit Phase 3	Not started	31 st March 2021
CoLP Use of Procurement Cards	Fieldwork	31 st December 2020
CoLP Digital Policing	Planning	31 st January 2021
PA – Police Funding	Planning	31 st January 2021

3. This Committee will be provided with a brief summary of the findings of each Audit review following completion, incorporated within subsequent Internal Audit Update reports.

Conclusion

4. Members should note that, despite the disruption in the early part of the year, very good progress is being made in delivering the 2020-21 Internal Police Audit Plan and that the team is on target for full delivery by 31 March 2021.

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